

DRS Itinuing Education

Prevention and Early Recognition of Necrotizing Enterocolitis

A Tale of 2 Tools—eNEC and GutCheckNEC

Sheila M. Gephart, PhD, RN; Christine Wetzel, MSN, RN, IBCLC; Brittany Krisman, BSN, RN

ABSTRACT

BACKGROUND AND SIGNIFICANCE: Risk for neonatal necrotizing enterocolitis (NEC) is complex, reflecting its multifactorial pathogenesis.

PURPOSE: To improve risk awareness and facilitate communication among neonatal caregivers, especially nurses, 2 tools were developed.

DESIGN: GutCheck^{NEC} was derived and validated as part of a formal research study over 3 phases, evidence synthesis, expert consensus building, and statistical modeling. The Wetzel/Krisman tool, eNEC, was developed and tested as part of a quality improvement initiative in a single clinical setting using evidence synthesis, review by internal expert clinicians, and implementation and evaluation of its use by direct line neonatal staff. Refinement of both tools is under way to evaluate their effect on clinical decision making, early identification of NEC and surgical NEC.

METHODS AND MAIN OUTCOMES: Clinicians can take an active role to reduce NEC in their units by focusing on modifiable risk factors such as adoption of standardized feeding protocols, preferential feeding of human milk, and antibiotic and histamine blocker stewardship.

RESULTS: Feeding during transfusion remains controversial, but judicious use of transfusions, adoption of transfusion guidelines, and withholding feeding during transfusion are feasible measures with potential benefit to prevent NEC and confer little risk.

Key Words: early recognition, eNEC, GutCheck^{NEC}, necrotizing enterocolitis, neonatal care, neonatal intensive care, nursing, prevention, risk assessment, risk score, very low birth-weight infant

Author Affiliations: College of Nursing, University of Arizona, Tucson (Dr Gephart), and Carle Foundation Hospital, Urbana, Illinois (Mss Wetzel and Krisman).

Derivation and validation of GutCheck^{NEC} were supported by the National Institute of Nursing Research (F31NR012333-A1) and the Friends of Yuma. Content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institute of Nursing Research or the National Institutes of Health.

Data were obtained from the Pediatrix Medical Group. The authors declare no conflict of interest.

Correspondence: Sheila M. Gephart, PhD, RN, College of Nursing, University of Arizona, PO Box 210203, Tucson, AZ 85721 (gepharts@arizona.edu).

Copyright © 2014 by The National Association of Neonatal Nurses

DOI: 10.1097/ANC.00000000000063

BACKGROUND OF NECROTIZING ENTEROCOLITIS RISK AWARENESS

Necrotizing enterocolitis (NEC) is a disease affecting the gastrointestinal tract involving an exaggerated inflammatory response,¹ altered bacterial colonization,² and damage from immature and compromised mucosa,³ with most cases occurring in premature infants,⁴ but nurses have few tools at their disposal to improve NEC risk awareness. Necrotizing enterocolitis leads to death in 15% to 30% of cases.^{4,5} Treated medically, NEC adds an additional \$92,858 per patient (inflation adjusted from 2002 study), 3 weeks to the length of stay and accounts for about 22% mortality.^{6,7} When surgery is necessary to treat NEC, additional costs are estimated at \$234,603. Surgical NEC mortality ranges from 30% to 50% and average length of stay exceeds 60 days beyond expected stays for prematurity alone. Incidence rates vary among neonatal

Advances in Neonatal Care • Vol. 14, No. 3 • pp. 201-210

intensive care units (NICUs)⁸⁻¹⁰ and overall rates have been stable over at least a decade in the United States.^{11,12} Early recognition of NEC can be challenging when symptoms are nonspecific, although recognizing NEC in its early stage is important to reduce the extent of intestinal damage and widespread sepsis. We define risk awareness for NEC as a state of heightened vigilance where a clinician understands an individual infant's risk for developing the disease and institutes measures to recognize it early so as to intervene before surgery becomes necessary.

Necrotizing enterocolitis is a progressive disease and may first present with feeding intolerance and nonspecific symptoms before gastrointestinal symptoms are evident.^{13,14} If the disease is not diagnosed and treated in the early stages, the infant's bowel becomes severely necrotic, and if not removed, the infant will die. In recent studies, diminished heart rate characteristic variability has been shown 6 hours preceding a diagnosis of medical NEC and up to 16 hours preceding the diagnosis of NEC requiring surgical intervention.¹⁵ This 10-hour difference in time to diagnose may potentially explain the challenge of early recognition and effect of delayed diagnosis on disease progression. More research is needed. Similarly, but not definitively, a recent cohort study found that infants who died from NEC were diagnosed on average at 3 days later (day of life) compared with those survived, but recognized that those infants who died were also smaller and of lower gestational age, thus typically experiencing later disease onset.¹⁶ Definitions for NEC are a topic of debate. The presence of pneumatosis (ie, gas between the mucosal and submucosal layers of the bowel) is an important distinctive feature when accompanied by clinical signs (eg, bilious gastric aspirate, emesis, abdominal distention, and/ or occult blood in the stool).^{17,18}

Neonatal nurses are the first-line responders detecting signs of NEC development.¹⁹ Nurses are witness to the devastation that NEC causes premature infants and their families. NICU nurses and nurse researchers who have witnessed the pain and suffering secondary to NEC were inspired to search the science and apply the available evidence to create new bedside nursing tools in an effort to improve outcomes. Delays in the diagnosis of NEC when nursing and parent concerns mount are particularly concerning, and such delays are what inspired the writing of an article applying the morally distressing topic of failure to rescue (ie, save a life through timely recognition of a complication) to the neonatal setting.¹⁹ Of particular concern to us is targeting the time of highest vigilance to coincide to the peak onset times when infants are most likely to develop NEC. Yet, we recognize that the time of onset varies and ongoing nursing vigilance is necessary.

NEC RISK AWARENESS AND THE PROBLEM OF TRANSITIONS

Information about NEC risk may be in multiple places in the neonatal medical record and is often poorly integrated. Using a risk score enables the clinician to assign meaning to pieces of information by thinking of them as a whole. To facilitate, communicate, and improve timely treatment, we separately developed NEC risk tools. As NEC develops later in life (2-3 weeks on average but as late as 45 days and beyond), it is possible that communication about NEC risk breaks down and is not consistently shared over multiple changes in staff. Standardizing communication of NEC risk across transitions in care using a risk score could improve early recognition and allow for better tailoring of care based on the infant's risk. Gephart's risk index, GutCheckNEC, was derived and validated as part of a formal research study over 3 phases, evidence synthesis,²⁰ expert consensus building,²¹ and statistical modeling.^{22,23} Another tool, eNEC, was developed by Wetzel and Krisman and then tested in a clinical setting as part of a quality improvement (QI) initiative. Following evidence synthesis and review by internal expert clinicians, eNEC was implemented and evaluated by neonatal staff providing direct patient care. Although we approached the problem of early recognition and NEC risk awareness from 2 different paradigms (one research and one QI), we did so with similar goals. This goal was to improve the timely and early recognition of NEC, minimize disease severity, and save lives. The purpose of this study was to report the findings of both projects and to join voices to call neonatal nurses to action to prevent and improve early recognition for this devastating neonatal disease.

NEC RISK

Evidence about NEC risk is generated from cohortand case-controlled studies, often using many years of data for a single NICU or a group of NICUs. Few studies include adjustment for NEC risk reducers, significantly human milk feeding and probiotics administration. Human milk feeding is the gold standard of NEC prevention springing from a study by Lucas and Cole²⁴ over 2 decades ago. Contemporary evidence supports feeding a proportion of human milk 50% or more of the feeding and approximately 50 mL/kg per day in the first 28 days of life as a goal conferring maximal NEC protection.²⁵⁻²⁷ Increased risk for mortality from both sepsis and NEC is strongly related to the volume and dose of human milk fed. Especially in the critical first weeks of life, when the gut is being colonized, feeding human milk confers protection that no other treatment offers. Two meta-analyses support the

delivery of probiotics for NEC prevention, particularly when the preparation includes a bifidobacterium.^{28,29} Although increasingly used abroad, widespread adoption of probiotics in US NICUs is stalled by the lack of a consistent formulation, a lack of consistency in the type of probiotics tested and used, and the lack of Federal Drug Administration approval.^{30,31} Risk factors for NEC most often described in the literature included maternal cocaine use,³²⁻³⁴ intrauterine growth restriction,³⁵ chorioamnionitis (especially if it is severe),³⁶⁻³⁸ being formulafed^{24,39} having had multiple infections,⁴⁰ a patent ductus arteriosus,41 receiving histamine-blocker therapy,⁴² receiving an initial course of antibiotics for more than 4 days with negative blood culture,^{43,44} receiving a packed red blood cell transfusion,45 and being cared for in a hospital with a high NEC rate.8-10 Other risk factors less often described include black⁸ or Hispanic race,46 male gender,8 and having received an exchange transfusion.²⁰ Risk for NEC varies by gestational age.47,48 Older, bigger babies have been shown to acquire NEC after being fed large volumes of formula concurrent with history of chorioamnionitis,^{38,49,50} intrauterine growth restriction,^{35,51-53} maternal drug use,⁴⁷ and/or presence of congenital heart disease.54-57

HISTORY OF NEC RISK SCORES

A NEC risk score (NRS) was developed in 1985, before the widespread use of surfactant for respiratory distress syndrome when NEC pathogenesis was still shrouded in mystery.58 The NRS was derived retrospectively using data from a single center with an extraordinarily high NEC rate (20%-30% of VLBW infants). Data from 29 infants (<1500 g) born within a 3-month time frame were used to develop a cumulative score that classified correctly 80% of infants (n = 29) as either low or high risk. The 10-point score was assigned within the first 24 hours of life and included 9 items, each worth one point except for birth weight that contributed up to 2 points (<1500 g = 1 point, <1000 g = 2 points). Other items included gestational age less than 32 weeks, Apgar score at 5 minutes less than 5, oxygen requirement, mechanical ventilation, low blood pressure for age and weight, presence of seizures or intraventricular hemorrhage, patent ductus arteriosus, and presence of umbilical catheters. Scores greater than 6 were considered high risk. An alternative scoring procedure could be used to calculate the score each day for the first 3 days of life with a cumulative score greater than 21 considered high risk.

Several years later, McKeown and colleagues (1994) tested the NRS to determine whether it correctly classified infants at high risk for NEC, severe NEC requiring surgery, and/or death. Using a case-control design in a single center, they compared

NRSs and the interaction of the score with feeding variables. Scores on the NRS did not predict NEC. Conversely, infants who did not develop NEC had higher scores than those who did, mostly because their respiratory disease was more severe. In the postsurfactant era, the contribution of respiratory disease to NEC risk is not supported. Overall, testing of the NRS was incomplete, confounded by feeding issues that impact NEC risk and underpowered to detect significant effects.^{58,59} An updating of NEC risk assessment scoring is warranted.

TWO CONTEMPORARY NEC RISK TOOLS

Derivation of the Tools

Both tools, eNEC and GutCheck^{NEC}, were developed beginning with synthesis of evidence for the purpose of making risk assessment and NEC risk communication simple and standardized for the bedside NICU nurse. Risk factors identified in the literature were assembled (see Table). Expecting the bedside clinician to efficiently and reliably assess NEC risk on the basis of memory is unrealistic and beyond the cognitive limits of humans when balancing multiple tasks in the context of a high-risk, fast-paced, and interruptive environment.^{60,61} So we separately set out to assimilate the risk factors into a tool for clinical use. Attention was focused in our parallel projects on usability of the tool to enable consistent scoring and minimize the work to complete it.

eNEC

As part of a QI project, the derivation of eNEC was initiated by a neonatal nursing team from Carle, led by Ms Wetzel. This NICU provides care for infants ranging from 22 weeks' gestation age at birth through term gestation and provides pediatric surgical services for infants who require surgery for the treatment of NEC. Two clinical questions were explored: (1) what interventions prevent feeding intolerance and NEC in the premature infant population and (2) what comparative factors impact the development of feeding intolerance and NEC? A literature search was then conducted using the terms feeding intolerance, NEC, premature infant, prevention, and Prolacta. Databases searched included Cochrane Library, PubMed, and EBSCOhost. A total of 45 research articles from peer-reviewed journals and professional position papers were used in the development of the 2 tools, 1 to identify NEC risk (eNEC) and 1 with targeted nursing assessments and interventions to implement for infants who score in the high- to moderate-risk categories. To avoid any appearance of a medical diagnostic tool, the tool was called "eNEC" to infer evaluation for NEC, which is within the nursing scope of practice.

TABLE. Risk Factors in Necrotizing Enterocolitis (NEC) Early Recognition Tools								
Risk Assessment Factors								
			After	After	After			
			Expert	Statistical	Statistical			
		Original	Consensus	Modeling	Modeling			
 Diadamagnaphia	enec	Original	Building	(< 1500 g)	(1501-2499 g)			
Biddemographic	X	N.	X					
	х	х	x		х			
Gestational age	Х	х	x	х				
Intrauterine growth restriction	X	X	x					
Small for gestational age	х	X	x					
Mala		X						
		X		×	Poducod rick			
Right race		×		×	neuuceu risk			
Maternal		^		^				
Outhorn				~	v			
Placental abruntion	~	v	×	^	^			
Prolansed umbilical cord with evidence of	~	×	×					
perinatal asphyxia		^	~					
Perinatal asphyxia		х	х					
Chorioamnionitis ^a	х	х	х					
Absent or reversed end diastolic flow	х	х						
Cigarette use	Х							
Incomplete or no antenatal steroid	Х	х	х					
Illicit drug use, not cocaine	Х	Х						
Illicit drug use, cocaine	Х	х	x					
Premature rupture of membranes	х							
Pregnancy induced hypertension		X	x					
Indemethacin prepetally to treat protorm labor		X						
HIV positive, treated with entiretroviral therapy		X						
Prolonged runture of membrance	N.	X						
	х	х						
Revine based formula	X	V	×					
Bovine-based formula	X	X	X					
Human milk feeding reduces rick	×	v	×	×				
Fed both formula and breast milk	^	×	~	^				
Lack of unit-based adoption of standardized		×	×					
feeding protocol		~	~					
NPO longer than 5 d for any reason and then		х						
fed								
Probiotics reduce risk		х		х	х			
Prolonged trophic feeding for $>$ 3 d		х						
Donor milk with prolacta	х							
Postnatal factors								
Hypoxic-ischemic events	х							
Apgar at 5 min <7; ≤6 in GutCheck ^{NEC}	х	х	х		Reduced risk			
Prolonged resuscitation [°]	х	х	Xp					
Epinephrine at delivery		х	х					

(continues)

www.advancesinneonatalcare.org

TABLE. Risk Factors in Necrotizing Enterocolitis (NEC) Early Recognition Tools, Continued

Risk Assessment Factors								
		GutCheck ^{NEC}						
	eNEC	Original	After Expert Consensus Building	After Statistical Modeling (< 1500 g)	After Statistical Modeling (1501-2499 g)			
Cold stress at delivery or any time during the clinical course			X	<u> </u>	(
Hypotension requiring inotropic medications	х	х	х	х	х			
Acidosis; persistent metabolic acidosis before a diagnosis of NEC (GutCheck ^{NEC})	х	х	х	х	x			
Respiratory distress	х	х						
Patent ductus arteriosus	х	х	х		х			
Congenital heart disease	х	х	х		х			
Early onset sepsis		х	х					
Multiple infections (2 or more in the first 4 wk of life but before NEC diagnosis)		х	х	х	Reduced risk			
Late onset sepsis before NEC diagnosis		х	х	х	х			
Sepsis	х							
Bloody gastric residuals after the third day of life		х						
Polycythemia	х							
Treatment factors								
PRBC transfusion	х			х	х			
PRBC transfusion, not NPO		х	х					
Received \ge 3 different antibiotics at once to treat infection		х						
Antibiotics given for \geq 5 d	х	х						
NICU in which the infant is cared for has an impact on NEC risk		х	х	х	х			
PDA treated with indomethacin and fed during treatment that progresses to a surgical PDA			x					
Histamine 2 blocker therapy		х	х					
Surfactant given for RDS		х						
IV immunogloblin		х						
Erythropoeitin		х						
Increased risk with each day on the ventilator		х						
Indomethacin ^b		х						
Exchange transfusion		х						
Umbilical arterial catheters longer than 4 d		х						
Given both glucocorticoids and indomethacin		х	х					

Abbreviations: HIV, human immunodeficiency virus; IV, intravenous; NICU, neonatal intensive care unit; NPO, nothing by mouth; PDA, patent ductus arteriosus; PRBC, packed red blood cell; RDS, respiratory distress syndrome.

^aeNEC includes clinical chorioamnionitis and GutCheck^{NEC} includes histological chorioamnionitis.

^bIndomethacin was considered in the e-Delphi in multiple items including (a) indomethacin and NPO, (b) indomethacin and fed, (c) indomethacin and later surgery. None of the indomethacin items were retained in the e-Delphi, contrasting to findings from a meta-analysis.

^cProlonged resuscitation defined in GutCheck^{NEC} as needed for chest compressions at delivery and positive pressure ventilation > 2 min.

Advances in Neonatal Care • Vol. 14, No. 3

Evidence included in the eNEC and nursing intervention practice guide spanned evidence about NEC risk,⁶²⁻⁷¹ importance of feeding an exclusive human milk diet,³⁹ beginning trophic feeding early to avoid a prolonged nothing by mouth (npo) course,⁷² careful but consistent advance of feeding,⁷³ a shared understanding about managing feeding intolerance,^{13,74,75} and a consistent nursing response to communicate symptoms and initiate actions when NEC was developing.²⁰

Scoring of eNEC is done weekly for the first month of life. Weekly scoring captures those events or risk factors that may increase the infant's risk for developing NEC. Using eNEC, the infant's risk is cumulative and therefore can only increase. This design was guided with the premise that NEC's disease process is multilayered and the original risk factors cannot be erased, so the score cannot decrease. Medical records for the infants classified as high-risk were tagged for follow-up. This visual cue serves as a reminder for each nurse to use preventive interventions and focused assessment tool because the infant is at high risk.

GutCheck^{NEC}

GutCheck^{NEC} was derived and validated over 3 phases of a research study. The University of Arizona Institutional Review Board approved the study. The working hypothesis was that NEC risk increases when multiple risk factors occur.

In phase I, evidence about NEC risk was synthesized and published,²⁰ followed by evidence for NEC prevention.^{76,77} In phase II, 35 neonatal experts from across the United States and 4 other countries participated in an e-Delphi study to come to consensus about the relevance of NEC risk factors in GutCheck^{NEC}.²¹ Experts agreed strongly that being born less than 1000 g, before 28 weeks, and formula fed increase risk while breast milk and the use of standardized feeding protocols decrease risk.²¹ At the end of the 3 rounds of iterative surveys, GutCheck^{NEC} was revised to include 33 distinct risk factors. Using qualitative content analysis of experts' comments, 2 themes about NEC risk were identified: that individual vulnerability and institutional (NICU care practice) variation both contribute.

In phase III, electronic health record data were used to build a statistical model of the most predictive risk factors, first for the very low-birth-weight infant (<1500 g) and then for the low-birth-weight infant (1501-2499 g). Mimicking a method to build other risk scores including the Score for Neonatal Acute Physiology, we used data from 58,820 babies cared for across 284 NICUs. De-identified patient data from the Pediatrix Clinical Data Warehouse were used, representing about 20% of the NICU discharges in the United States from 2007 to 2011. We first determined what risk factors were most predictive,

weighted the items, and calculated a risk score using 60% of the cases (n = 35,013), reserving the remaining 40% for validation and calibration. The statistical model with the best fit to the data included 9 risk factors and 2 risk reducers. Risk factors contained in the final GutCheck^{NEC} include gestational age, packed red blood cell transfusion, unit NEC rate, late onset sepsis, multiple infections (≥ 2 before a NEC diagnosis), hypotension treated with inotropic medications, black or Hispanic race, birth in a different NICU (ie, outborn status), and metabolic acidosis. Human milk feeding (on both days 7 and 14 of life) and probioticsreduced risk.²² The highest contributing factor to the GutCheckNEC score was the unit's NEC risk and carried up to 3 times the weight of gestational age. Compared to the NRS, the only risk factors retained in GutCheck^{NEC} were gestational age and hypotension when treated with inotropic medications.

Validation and Clinical Testing of the Tools

eNEC

A strength of eNEC is that it has been tested directly in the clinical setting. Initial eNEC testing took place in the Carle Hospital, 42-bed, level III NICU. Bedside NICU nurses from both day and night shifts participated. Medical leadership and the NICU administrators endorsed the tool before the trial. Institutional review board approval was not sought as it was a QI project. During the QI project, staff nurses scored eligible infants on the first day of life and then weekly and scores were compared with those of project leaders. Tool scores were validated for accuracy by comparing the staff score and the project leader score. A high percentage of the tools (95%) were found to be reliable with scoring by the PI project leaders in all but 10 tools with high agreement on assigning the correct risk category (low, medium, or high). Individual scores showed more variability. On the basis of the variances in scoring, categories were clarified and the tool was revised.

A total of 72 infants had scores calculated during the QI project. Of the 72 infants, 58 (81%) were scored high risk. One factor that placed so many infants in the high-risk category was that almost all babies were given points for antibiotic administration. After reexamining the evidence, only those who received antibiotics for 5 or more days were given points for that category.^{23,43,44} On the basis of changing the antibiotic criteria, 13 infants (18%) in the high-risk category were recategorized as moderate risk. Avoiding a large number of infants categorized as high risk is important to maintain the value of a score to heighten vigilance. If many or most infants fall into high-risk categories, the score will generate a large number of false positives who never develop NEC, and potentially reducing clinicians' trust in the score.

Scores for high-risk infants were positively related to infants most likely to have abdominal imaging and/or feeding interruption. In the high-risk category, 39.7% received some type of abdominal x-ray (kidney urethra bladder flat plate abdominal x-ray, decubitus, or babygram) that was not related to line or tube placement. In this unit, abdominal x-rays are ordered when an infant has had signs of feeding intolerance including abdominal distention, multiple emesis, repeated large residuals (more than 50% of volume fed), bloody stools or emesis, or bilious emesis. Only 10% of the infants in the moderate-risk category had an abdominal x-ray related to possible feeding intolerance and none in the low-risk category. In the high-risk category, 35% had some type of feeding interruption (npo status) secondary to symptoms of feeding intolerance and/or medical evaluation for NEC. No infant in any other category had a documented feeding interruption.

GutCheckNEC

GutCheck^{NEC} was validated by testing for the accuracy with which it discriminated those infants who developed NEC from those who did not, using infant data from the Pediatrix Clinical Data Warehouse. For validation, a case-control design was used in which 120 NEC cases were each matched by birth weight (within 100 g), gestational age (within 1 week), and discharge year (within 1 year) to 2 controls. It was then calibrated using a separate validation set (N = 23447), which did not match cases to controls. Identification of infants who developed NEC for both the validation and calibration steps was evaluated using receiver operator characteristic curves. With this method, interpretation of the areas under the curve is akin to interpreting academic grades (eg, AUC ≥ 0.90 is excellent, 0.80-0.89 is very good, 0.70-0.79 is good, 0.60-0.69 is fair, and <0.60 is poor). GutCheck^{NEC} demonstrated very good (B-range) prediction for infants who developed surgical NEC and those who died. Prediction of medical NEC was good (C-range). Overall, prediction of GutCheckNEC was more favorable in the calibration set (N = 23447) than the case-control validation set (N = 360). Prospective clinical testing is under way and until complete, GutCheckNEC can be obtained by contacting the first author. Full results of the validation study are available elsewhere.22,78

IMPLICATIONS FOR RESEARCH

It is clear to us that testing the 2 tools for parallel validity and prediction is a logical next step in our research trajectory. GutCheck^{NEC} requires prospective clinical testing, currently in progress, and both tools need to undergo more complete evaluation before widespread use. Broader validation and

statistical analysis are needed for eNEC including validation of risk factor weighting and optimal scoring frequency. The impact of either tool on early recognition and reduction in disease progression leading to surgical NEC is a broader goal for us. Relationships of either tool's use by nurses to feeding interruptions, abdominal x-rays, and feeding changes based on signs of intolerance need to be explored prospectively. Finally, how standardizing risk assessment impacts clinician-to-clinician communication across transitions in caregivers is also another question worthy of exploration.

IMPLICATIONS FOR PRACTICE AND POLICY

At every stage in the process of prevention and early recognition of NEC, nurses play a critical role. Nurses are powerful patient advocates and can work with physician leaders to encourage the adoption of prevention and early recognition practices for NEC. An infant at risk for NEC is often described as very low birth weight, born early, and likely to have been severely ill, but research studies report occurrence of various risk factors.²⁰ What is less widely understood is how treatment differences account for NEC risk and how the widely varied NEC rates from NICU to NICU contribute.9,10,12 An increasingly vocal cohort of NICU clinicians has been successful to reduce NEC rates within their units by adopting evidence-based prevention practices.^{6,65,79} Leaders in units with low NEC rates have prioritized ensuring that all mothers with premature labor receive antenatal steroids,^{80,81} feeding of human milk,^{82,83} the use of a feeding protocol,84 judicious use of antibiotics,43,44 and holding feeding during transfusion.6,85 A recent QI collaborative in California reported a reduction in NEC from 7% to less than 3% of infants weighing less than 1500 g when a change package of prevention practices was adopted in 11 NICUs. The change package included using colostrum for oral care,^{86,87} preferential human milk feeding,⁸⁸ and the use of a standardized feeding protocol.⁸⁹ In another OI initiative, dramatic reductions in surgical NEC rates were documented after changing practice to hold feedings during transfusions in Ohio.⁸⁵ Although NEC risk may be thought of as nonmodifiable, it appears that there is a modifiable component amenable to change.6,89-92

At the unit level of policy change, revisiting a standardized approach to encouraging the feeding of human milk and using a standardized feeding protocol is warranted.⁷⁷ Consistency in approach to feeding intolerance, feeding advancement, and breast milk promotion all impact NEC. Beyond that, using a NEC risk tool in practice may be a logical next step. We recommend a critical analysis of NEC rates within an individual NICU. If rates are well above

5%, they are likely reducible—but culture change is necessary. In the Carle NICU, policies have been revised to reflect current evidence for NEC prevention including promotion of human milk feeding, using a feeding intolerance algorithm, using a feeding guideline, minimizing excessive antibiotic exposure, and holding feedings during transfusion. Rates are currently at 2% for VLBW infants in this unit and NEC vigilance continues.

Providing donor milk as a species specific alternative when mother's milk is not available is supported by research.^{82,93} However, insurance reimbursement is not uniform for donor human milk. As Medicaid reimburses a large proportion of neonatal costs, the statewide financial impact of not covering donor milk is dwarfed substantially by the concomitant increase in costs from NEC. Based on an economic analysis from the last decade, a conservative estimate of statebased cost savings estimates that reimbursement and use of human donor milk could save a state Medicaid system about \$32,682,000 annually on NICU-related costs (calculated in 2002 using costs saved from NEC and sepsis using an average decreased length of stay by 15 days).⁹⁴ We recommend broad policy initiatives to make donor milk a viable alternative when mother's milk is not available, particularly in infants less than 33 weeks' gestation.

Finally, at the national level, implications for policy are an issue of debate. As long as NEC is thought of as inevitable in the most vulnerable infants, progress for change may be slow. However, in other arena, increased vigilance for "never events" has spread across the country for Medicare reimbursable conditions. We similarly conceive that we are on the verge of a tipping point for NEC. At the very least, we recommend national surveillance and public reporting of NEC rates so that parents as consumers can understand the differences unit to unit. Until that change is realized, nurses at the bedside can consider the use of a tool to facilitate risk awareness for NEC using a tool, perhaps one of which is described here.

Acknowledgments

Dr Gephart thanks Dr Alan Spitzer and Ms Elizabeth Dodd from the Pediatrix Medical Group for the access to the data. She also acknowledges her dissertation advisory team, including Drs Judith Effken, Jacqueline McGrath, Melissa Halpern, Elaine Jones, Pamela Reed, and Paula Meek for their help refining her ideas.

Ms Wetzel thanks Dr William Stratton for supporting the development and testing of eNEC, Lisa Davis, NNP, who reviewed the scoring and assisted in weighting the risk factors, and all the Carle NICU nurses who took the time to trial the tool and provide feedback.

References

- Grave GD, Nelson SA, Walker WA, et al. New therapies and preventive approaches for necrotizing enterocolitis: report of a research planning workshop. *Pediatr Res.* 2007;62(4):510-514.
- Mshvildadze M, Neu J, Mai V. Intestinal microbiota development in the premature neonate: establishment of a lasting commensal relationship? *Nutr Rev.* 2008;66(11):658-663.
- Mannoia K, Boskovic DS, Slater L, Plank MS, Angeles DM, Gollin G. Necrotizing enterocolitis is associated with neonatal intestinal injury. J Pediatr Surg. 2011;46(1):81-85.
- Henry MC, Moss RL. Necrotizing enterocolitis. Annu Rev Med. 2009;60:111-124.
- Thompson A, Bizzarro M, Yu S, Diefenbach K, Simpson BJ, Moss RL. Risk factors for necrotizing enterocolitis totalis: a case-control study. *J Perinatol.* 2011;31(11):730-738.
- Benjamin J, Chong E, Reynolds J, Gordon PV. Detailed analysis of NEC risks across a decade in a low incidence NICU: can we drive the incidence of NEC toward zero? *e-J Neonatol Res.* 2012;2(4): 181-189.
- Bisquera JA, CooperTR, Berseth CL. Impact of necrotizing enterocolitis on length of stay and hospital charges in very low birth weight infants. *Pediatrics*. 2002;109(3):423-428.
- Uauy RD, Fanaroff AA, Korones SB, Phillips EA, Phillips JB, Wright LL. Necrotizing enterocolitis in very low birth weight infants: biodemographic and clinical correlates. National Institute of Child Health and Human Development Neonatal Research Network. J Pediatr. 1991;119(4):630-638.
- Yee WH, Soraisham AS, Shah VS, et al. Incidence and timing of presentation of necrotizing enterocolitis in preterm infants. *Pediatrics*. 2012;129(2):e298-e304.
- Fitzgibbons SC, Ching Y, Yu D, et al. Mortality of necrotizing enterocolitis expressed by birth weight categories. *J Pediatr Surg.* 2009;44(6):1072-1075; discussion 1075-1076.
- Fanaroff AA, Wright LL, Stevenson DK, et al. Very-low-birth-weight outcomes of the National Institute of Child Health and Human Development Neonatal Research Network, May 1991 through December 1992. Am J Obstet Gynecol. 1995;173(5):1423-1431.
- Fanaroff AA, Stoll BJ, Wright LL, et al. Trends in neonatal morbidity and mortality for very low birthweight infants. *Am J Obstet Gynecol.* 2007;196(2):147 e141-148.
- Patole S. Strategies for prevention of feed intolerance in preterm neonates: a systematic review. J Matern Fetal Neonatal Med. 2005;18(1):67-76.
- Cobb BA, Carlo WA, Ambalavanan N. Gastric residuals and their relationship to necrotizing enterocolitis in very low birth weight infants. *Pediatrics*. 2004;113(1, Pt 1):50-53.
- Stone ML, Tatum PM, Weitkamp JH, et al. Abnormal heart rate characteristics before clinical diagnosis of necrotizing enterocolitis. *J Perinatol.* 2013;33(11):847-850.
- Clark RH, Gordon P, Walker WM, Laughon M, Smith PB, Spitzer AR. Characteristics of patients who die of necrotizing enterocolitis. *J Perinatol.* 2012;32(3):199-204.
- Gordon PV. What progress looks like in NEC research. J Perinatol. 2011;31(3):149.
- Gordon PV, Swanson JR, Attridge JT, Clark R. Emerging trends in acquired neonatal intestinal disease: is it time to abandon Bell's criteria? *J Perinatol.* 2007;27(11):661-671.
- Gephart SM, McGrath JM, Effken JA. Failure to rescue in neonatal care. J Perinat Neonatal Nurs. 2011;25(3):275-282.
- Gephart SM, McGrath JM, Effken JA, Halpern MD. Necrotizing enterocolitis risk: state of the science. *Adv Neonatal Care*. 2012;12(2):77-87.
- Gephart SM, Effken JA, McGrath JM, Reed PG. Expert consensus building using e-Delphi for necrotizing enterocolitis risk assessment. J Obstet Gynecol Neonatal Nurs. 2013;42(3):332-347.
- Gephart SM. Validating a Neonatal Risk Index to Predict Necrotizing Enterocolitis. Tucson, AZ: College of Nursing, University of Arizona; 2012.
- Cantey JB, Sanchez PJ. Prolonged antibiotic therapy for "culturenegative" sepsis in preterm infants: it's time to stop! *J Pediatr.* 2011;159(5):707-708.
- Lucas A, Cole TJ. Breast milk and neonatal necrotising enterocolitis. Lancet. 1990;336(8730):1519-1523.
- Patel AL, Johnson TJ, Engstrom JL, et al. Impact of early human milk on sepsis and health-care costs in very low birth weight infants. J Perinatol. 2013;33(7):514-519.
- Schanler RJ. Outcomes of human milk–fed premature infants. Semin Perinatol. 2011;35(1):29-33.
- Meinzen-Derr J, Poindexter B, Wrage L, Morrow AL, Stoll B, Donovan EF. Role of human milk in extremely low birth weight infants' risk of necrotizing enterocolitis or death. J Perinatol. 2009;29(1):57-62.

- Deshpande G, Rao S, Patole S, Bulsara M. Updated meta-analysis of probiotics for preventing necrotizing enterocolitis in preterm neonates. *Pediatrics*. 2010;125(5):921-930.
- Deshpande G, Rao S, Patole S. Probiotics for prevention of necrotising enterocolitis in preterm neonates with very low birthweight: a systematic review of randomised controlled trials. *Lancet*. 2007;369(9573):1614-1620.
- Frost BL, Caplan MS. Probiotics and prevention of neonatal necrotizing enterocolitis. *Curr Opin Pediatr.* 2011;23(2):151-155.
- Caplan M, Frost B. Myth: necrotizing enterocolitis: probiotics will end the disease, and surgical intervention improves the outcome. *Semin Fetal Neonatal Med.* 2011;16(5):264-268.
- Lopez SL, Taeusch HW, Findlay RD, Walther FJ. Time of onset of necrotizing enterocolitis in newborn infants with known prenatal cocaine exposure. *Clin Pediatr (Phila)*. 1995;34(8):424-429.
- Czyrko C, Del Pin CA, O'Neill JA Jr, Peckham GJ, Ross AJ III. Maternal cocaine abuse and necrotizing enterocolitis: outcome and survival. *J Pediatr Surg.* 1991;26(4):414-418; discussion 419-421.
- Levy M. Is cocaine a risk factor to necrotizing enterocolitis? Clin Pediatr (Phila). 1993;32(11):700-701.
- Garite TJ, Clark R, Thorp JA. Intrauterine growth restriction increases morbidity and mortality among premature neonates. *Am J Obstet Gynecol.* 2004;191(2):481-487.
- Dempsey E, Chen MF, Kokottis T, Vallerand D, Usher R. Outcome of neonates less than 30 weeks gestation with histologic chorioamnionitis. *Am J Perinatol.* 2005;22(3):155-159.
- Aziz N, Cheng YW, Caughey AB. Neonatal outcomes in the setting of preterm premature rupture of membranes complicated by chorioamnionitis. J Matern Fetal Neonatal Med. 2009;22(9):780-784.
- Martinez-Tallo E, Claure N, Bancalari E. Necrotizing enterocolitis in full-term or near-term infants: risk factors. *Biol Neonate*. 1997;71(5):292-298.
- Sullivan S, Schanler RJ, Kim JH, et al. An exclusively human milkbased diet is associated with a lower rate of necrotizing enterocolitis than a diet of human milk and bovine milk-based products. J Pediatr. 2010;156(4):562-567 e561.
- Carter BM, Holditch-Davis D, Tanaka D, Schwartz TA. Relationship of neonatal treatments with the development of necrotizing enterocolitis in preterm infants. *Nurs Res.* 2012;61(2):96-102.
- Sharma R, Hudak ML, Tepas JJ III, et al. Prenatal or postnatal indomethacin exposure and neonatal gut injury associated with isolated intestinal perforation and necrotizing enterocolitis. *J Perinatol.* 2010;30(12):786-793.
- 42. Guillet R, Stoll BJ, Cotten CM, et al. Association of H2-blocker therapy and higher incidence of necrotizing enterocolitis in very low birth weight infants. *Pediatrics*. 2006;117(2):e137-e142.
- Cotten CM, Taylor S, Stoll B, et al. Prolonged duration of initial empirical antibiotic treatment is associated with increased rates of necrotizing enterocolitis and death for extremely low birth weight infants. *Pediatrics*. 2009;123(1):58-66.
- Kuppala VS, Meinzen-Derr J, Morrow AL, Schibler KR. Prolonged initial empirical antibiotic treatment is associated with adverse outcomes in premature infants. *J Pediatr.* 2011;159(5):720-725.
- Mohamed A, Shah PS. Transfusion associated necrotizing enterocolitis: a meta-analysis of observational data. *Pediatrics*. 2012;129(3): 529-540.
- Guner YS, Friedlich P, Wee CP, Dorey F, Camerini V, Upperman JS. State-based analysis of necrotizing enterocolitis outcomes. J Surg Res. 2009;157(1):21-29.
- Stout G, Lambert DK, Baer VL, et al. Necrotizing enterocolitis during the first week of life: a multicentered case-control and cohort comparison study. J Perinatol. 2008;28(8):556-560.
- Maayan-Metzger A, Itzchak A, Mazkereth R, Kuint J. Necrotizing enterocolitis in full-term infants: case-control study and review of the literature. *J Perinatol.* 2004;24(8):494-499.
- Ruangtrakool R, Laohapensang M, Sathornkich C, Talalak P. Necrotizing enterocolitis: a comparison between full-term and preterm neonates. *J Med Assoc Thai*. 2001;84(3):323-331.
- Been JV, Rours IG, Kornelisse RF, et al. Histologic chorioamnionitis, fetal involvement, and antenatal steroids: effects on neonatal outcome in preterm infants. Am J Obstet Gynecol. 2009;201(6):587 e581-588.
- Engineer N, Kumar S. Perinatal variables and neonatal outcomes in severely growth restricted preterm fetuses. *Acta Obstet Gynecol Scand*. 2010;89(9):1174-1181.
- Pallotto EK, Kilbride HW. Perinatal outcome and later implications of intrauterine growth restriction. *Clin Obstet Gynecol.* 2006;49(2): 257-269.
- Yildirim G, Turhan E, Aslan H, et al. Perinatal and neonatal outcomes of growth restricted fetuses with positive end diastolic and absent or reversed umbilical artery Doppler waveforms. *Saudi Med J.* 2008;29(3):403-408.

- Cheng W, Leung MP, Tam PK. Surgical intervention in necrotizing enterocolitis in neonates with symptomatic congenital heart disease. *Pediatr Surg Int.* 1999;15(7):492-495.
- Pickard SS, Feinstein JA, Popat RA, Huang L, Dutta S. Short- and long-term outcomes of necrotizing enterocolitis in infants with congenital heart disease. *Pediatrics*. 2009;123(5):e901-e906.
- De La Torre CA, Miguel M, Martinez L, et al. The risk of necrotizing enterocolitis in newborns with congenital heart disease: a single institution-cohort study. *Cir Pediatr.* 2010;23(2):103-106.
- Bolisetty S, Lui K, Oei J, Wojtulewicz J. A regional study of underlying congenital diseases in term neonates with necrotizing enterocolitis. *Acta Paediatr.* 2000;89(10):1226-1230.
- LaGamma EF, Ostertag SG, Birenbaum H. Failure of delayed oral feedings to prevent necrotizing enterocolitis. Results of study in very-low-birth-weight neonates. *Am J Dis Child.* 1985;139(4): 385-389.
- McKeown RE, Marsh TD, Garrison CZ, et al. The prognostic value of a risk score for necrotising enterocolitis. *Paediatr Perinat Epidemiol*. 1994;8(2):156-165.
- 60. Roth EM. Uncovering the requirements of cognitive work. *Hum Factors*. 2008;50(3):475-480.
- Effken JA, Loeb RG, Kang Y, Lin ZC. Clinical information displays to improve ICU outcomes. Int J Med Inform. 2008;77(11):765-777.
- Wilson R, del Portillo M, Schmidt E, Feldman RA, Kanto WP Jr. Risk factors for necrotizing enterocolitis in infants weighing more than 2,000 grams at birth: a case-control study. *Pediatrics*. 1983;71(1): 19-22.
- Kamoji VM, Dorling JS, Manktelow B, Draper ES, Field DJ. Antenatal umbilical Doppler abnormalities: an independent risk factor for early onset neonatal necrotizing enterocolitis in premature infants. *Acta Paediatr.* 2008;97(3):327-331.
- McElhinney DB, Hedrick HL, Bush DM, et al. Necrotizing enterocolitis in neonates with congenital heart disease: risk factors and outcomes. *Pediatrics*. 2000;106(5):1080-1087.
- El-Dib M, Narang S, Lee E, Massaro AN, Aly H. Red blood cell transfusion, feeding and necrotizing enterocolitis in preterm infants. *J Perinatol.* 2011;31(3):183-187.
- Blau J, Calo JM, Dozor D, Sutton M, Alpan G, La Gamma EF. Transfusion-related acute gut injury: necrotizing enterocolitis in very low birth weight neonates after packed red blood cell transfusion. *J Pediatr.* 2011;158(3):403-409.
- Hallstrom M, Koivisto AM, Janas M, Tammela O. Frequency of and risk factors for necrotizing enterocolitis in infants born before 33 weeks of gestation. *Acta Paediatr.* 2003;92(1):111-113.
- Luig M, Lui K. Epidemiology of necrotizing enterocolitis—Part II: Risks and susceptibility of premature infants during the surfactant era: a regional study. *J Paediatr Child Health.* 2005;41(4): 174-179.
- Thompson AM, Bizzarro MJ. Necrotizing enterocolitis in newborns: pathogenesis, prevention and management. *Drugs.* 2008;68(9): 1227-1238.
- Downard CD, Grant SN, Matheson PJ, et al. Altered intestinal microcirculation is the critical event in the development of necrotizing enterocolitis. J Pediatr Surg. 2011;46(6):1023-1028.
- Weintraub AS, Ferrara L, Deluca L, et al. Antenatal antibiotic exposure in preterm infants with necrotizing enterocolitis. *J Perinatol.* 2012;32(9):705-709.
- Bombell S, McGuire W. Early trophic feeding for very low birth weight infants. *Cochrane Database Syst Rev (Online)*. 2009(3):CD000504.
- McGuire W, Bombell S. Slow advancement of enteral feed volumes to prevent necrotising enterocolitis in very low birth weight infants. *Cochrane Database Syst Rev (Online).* 2008(2):CD001241.
- Moore TA, Wilson ME. Feeding intolerance: a concept analysis. Adv Neonatal Care. 2011;11(3):149-154.
- 75. Bora R, Mukhopadhyay K, Saxena AK, Jain V, Narang A. Prediction of feed intolerance and necrotizing enterocolitis in neonates with absent end diastolic flow in umbilical artery and the correlation of feed intolerance with postnatal superior mesenteric artery flow. J Matern Fetal Neonatal Med. 2009;22(11):1092-1096.
- Gephart SM. Transfusion-associated necrotizing enterocolitis: evidence and uncertainty. *Adv Neonatal Care*. 2012;12(4): 232-236.
- Gephart SM, Hanson CK. Preventing necrotizing enterocolitis with standardized feeding protocols: not only possible, but imperative. *Adv Neonatal Care.* 2013;13(1):48-54.
- Gephart SM, Spitzer A, Effken JA, Dodd E, Halpern MD, McGrath JM. Discrimination of GutCheck^{NEC}, a clinical risk index for necrotizing enterocolitis. *J Perinatol.* Published online: March 20, 2014 (doi: 10.1038/jp.2014.37).
- Patole S, McGlone L, Muller R. Virtual elimination of necrotising enterocolitis for 5 years—reasons? *Med Hypotheses*. 2003;61(5/6): 617-622.

- American Congress of Obstetricians and Gynecologists. Antenatal Corticosteroid therapy for fetal maturation. Committee Opinion No. 475. Obstet Gynecol. 2011;117:422-424.
- Roberts D, Dalziel S. Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. *Cochrane Database Syst Rev (Online)*. 2006;3:CD004454.
- Quigley MA, Henderson G, Anthony MY, McGuire W. Formula milk versus donor breast milk for feeding preterm or low birth weight infants. *Cochrane Database Syst Rev (Online)*. 2007(4):CD002971.
- American Academy of Pediatrics. Policy statement breastfeeding and the use of human milk. *Pediatrics*. 2012;129(3):e827-e841.
- Patole SK, de Klerk N. Impact of standardised feeding regimens on incidence of neonatal necrotising enterocolitis: a systematic review and meta-analysis of observational studies. *Arch Dis Child Fetal Neonatal Ed.* 2005;90(2):F147-F151.
- Reber KM, James J, Bartman T, Hitchner J, McLead RE. Decreased Necrotizing Enterocolitis (NEC) Using Quality Improvement (QI) Methodology. Washington, DC: Pediatric Academic Societies; 2013.
- Rodriguez NA, Meier PP, Groer MW, Zeller JM, Engstrom JL, Fogg L. A pilot study to determine the safety and feasibility of oropharyngeal administration of own mother's colostrum to extremely low-birth-weight infants. *Adv Neonatal Care*. 2010;10(4): 206-212.

- Rodriguez NA, Meier PP, Groer MW, Zeller JM. Oropharyngeal administration of colostrum to extremely low birth weight infants: theoretical perspectives. *J Perinatol.* 2009;29(1):1-7.
- Eidelman AI, Schanler RJ, Johnston M, et al. Breastfeeding and the use of human milk. *Pediatrics*. 2012;129(3):E827-E841.
- Lee HC, Kurtin PS, Wight NE, et al. A quality improvement project to increase breast milk use in very low birth weight infants. *Pediatrics*. 2012;130(6):e1679-e1687.
- Christensen RD, Lambert DK, Henry E, et al. Is "transfusion-associated necrotizing enterocolitis" an authentic pathogenic entity? *Transfusion (Paris)*. 2010;50(5):1106-1112.
- Wiedmeier SE, Henry E, Baer VL, et al. Center differences in NEC within one health-care system may depend on feeding protocol. Am J Perinatol. 2008;25(1):5-11.
- Christensen RD, Gordon PV, Besner GE. Can we cut the incidence of necrotizing enterocolitis in half—today? *Fetal Pediatr Pathol.* 2010;29(4):185-198.
- McGuire W, Anthony MY. Donor human milk versus formula for preventing necrotising enterocolitis in preterm infants: systematic review. Arch Dis Child Fetal Neonatal Ed. 2003;88(1):F11-F14.
- Arnold LD. The cost-effectiveness of using banked donor milk in the neonatal intensive care unit: prevention of necrotizing enterocolitis. *J Hum Lact.* 2002;18(2):172-177.

For more than 34 additional continuing education articles related to neonatal care, go to NursingCenter.com/CE.